

NATIONAL WEATHER SERVICE INSTRUCTION 10-1606
MAY 25, 2021

Operations and Services
Performance and Evaluation, NWSPD 10-16
SERVICE ASSESSMENT

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OPR: W/COO11 (P. Brown)
Type of Issuance: Routine

Certified by: W/COO1 (C. Woods)

SUMMARY OF REVISIONS: This directive supersedes NWS Instruction 10-1606, “*Service Assessment*,” dated March 29, 2018.

The following changes were made:

1. Entire instruction was edited and rewritten for clarity, internal consistency, and legal correctness. Instruction was edited to change wording, “**DRAFT - FOIA Exempt - Not for Public Release**” to “**DRAFT - Pre-Decisional - Not for Public Release**” or “**DRAFT – Not for Public Release**” to comply with the Freedom of Information Act (FOIA), found at the web site <https://www.foia.gov/faq.html>. Specific locations in the Instruction are cited below.
2. Section 1 (Introduction, Second paragraph): Clarified nature of After-Action Reviews (AARs) as internal assessments for rapid informal review of operations.
3. Section 3 (Post-Storm Data Acquisition Quick Response Team): Clarified that the term, “Post-Storm Data Acquisition”, may be applied to man-made and natural occurrences that require IDSS.
4. Section 4.2 (Mission Connection, Second paragraph): Added website for Homeland Security Exercise and Evaluation Program (HSEEP).
5. Section 4.3 (Initiating an AAR, Second paragraph): Removed bullet “b” to clarify instances that might lead to the determination that an AAR may be conducted.
6. Section 4.4 (Membership): Clarified the core membership for an AAR and the goals.
7. Section 4.5.1 (Debriefing Preparation): Clarified role of the Facilitator.
8. Section 4.5.2 (Ground Rules for the AAR Debriefing): Changed numbering scheme from 4.5.1.1. Removed sentence in bullet “f” which read, “The facilitator may want to remind participants that if they are talking, they are not hearing what others are saying.”
9. Section 4.5.4 (AAR Documentation and Follow-Through, Second paragraph): Removed the phrase “are FOIA Exempt”.

10. Section 4.5.5 (Sharing AARs, First paragraph): Added that an AAR may be shared with Mission Delivery Council (MDC) and appropriate National Service Program leads. Added that an AAR might be distributed by email to appropriate programs. Clarified that documentation from an AAR should be labeled “**DRAFT – Not for Public Release**”.
11. Section 5.2 (Authority of Initiating a National Service Assessment, First paragraph) Clarified the authority for sponsorship and the participating leadership.
12. Section 5.3 (Approval Memorandum for Initiating a National Service Assessment, Third paragraph): Added collaboration with National Center(s) and service program areas.
13. Section 5.4 (National Service Assessment Team Composition, First paragraph): Clarified participating leadership to include National Center(s) leadership or their designees.
14. Section 5.4 (National Service Assessment Team Composition, Third paragraph): Reworded to clarify team members versus consultants. Removed time restriction to seek clarification from NOAA Office of General Counsel regarding team membership issues.
15. Section 5.5 (National Service Assessment Team Leader, Second paragraph): Reworded for clarity.
16. Section 5.5.2 (National Service Assessment Team Leadership Authority): Made grammatical corrections.
17. Section 5.6 (Other National Service Assessment Team Members and Consultants, First paragraph): Included expert(s) from a National Center as possible team member(s). Corrected grammatical errors in bullets “c” and “d”.
18. Section 5.6 (Other National Service Assessment Team Members and Consultants, Second paragraph): Changed AAR “participant” to AAR “facilitator.”
19. Section 5.6 (Other National Service Assessment Team Members and Consultants, Third paragraph): Provided support to team from OCOO PEB, as needed.
20. Section 6.1 (Pre-Service Assessment Activities, Second paragraph): Added the goal of Root Cause Analysis (RCA) training for service assessments.
21. Section 6.1.1 (Itinerary, First paragraph): Added that team leader may collaborate with the appropriate National Center(s), service delivery offices, and/or National Service Programs.
22. Section 6.1.4 (Affected NWS Offices or Centers), First Paragraph): Edited bullet “a” to include social media posts and emergency management briefings.
23. Section 6.1.4 (Affected NWS Offices or Centers), First Paragraph): Edited bullet “b” to include Federal, state and local partners and media.
24. Section 6.3 (Post-Assessment Activities): Added provision to add and develop sub-teams and to arrange multi-day meetings for writing reports.
25. Section 6.4 (Draft Report, Second Paragraph): Added request that team(s) tie findings or recommendations to previous service assessments if issues are unresolved or ongoing.
26. Section 6.4 (Draft Report, Third Paragraph): Added reference for Service Assessment Timeline.

27. Section 6.4 (Draft Report, Step 2): Clarified that the draft report will be reviewed by the National Centers, the Forecast Services Division Chief, and service delivery offices.
28. Section 6.4 (Draft Report, Step 3): Added the National Weather Service Employees Organization (NWSEO) to the review process for draft findings and recommendations.
29. Section 6.4 (Draft Report, Step 4): Added provision for the team leader to get aid from team members during the adjudication process.
30. Section 6.4 (Draft Report, Step 5, First paragraph): Reworded from “team leader, or co-leaders” to “team lead(s)” for clarity.
31. Section 6.4 (Draft Report, Step 5, Second paragraph): Changed wording in bullet “a” from “MDC” to “NWS Senior Leadership”. Clarified that others, as determined by AA or COO, may include affected National Center(s) Directors, the Forecast Services Division Chief, and/or service delivery offices.
32. Section 6.4 (Draft Report, Step 8): Added Step 8 to outline the time schedules for review by the MDC and response from the MDC.
33. Section 6.4.1 (Pre-Release of Report Information): Added National Center(s) to bullet “a” as operational entities. Changed team “leader” to “leaders”.
34. Section 6.4.2 (Dissemination of the National Service Assessment Final Report, First paragraph): Clarified internal dissemination in bullet “d” to include the National Centers. Also clarified that the draft should be labeled “**Draft – Pre-Decisional – Not for Public Release**”.
35. Section 6.4.2 (Dissemination of the National Service Assessment Final Report, Second paragraph): Added statement that official reports are public information.
36. Section 7 (Regional Assessments, Second paragraph): Clarified that documentation from any discussion or information leading to a Regional service assessment should be labeled “**Draft – Pre-Decisional – Not for Public Release**”.
37. Section 7 (Regional Assessments, Third paragraph): Added to clarify that significant Regional Assessments can be briefed to the MDC and/or released by OCOO PEB.
38. Section 8.2 (Action Item Tracking, Second paragraph): Clarified that the National Center(s) and National Service Programs are to maintain all service assessment data and utilize information.
39. Section 8.2 (Action Item Tracking, Third paragraph): Added provision for recommendations and best practices to be used for evaluations.
40. Section 9.2.2 (Evaluation at Weather Forecast Offices (WFOs) and River Forecast Centers (RFCs)): Spelled out names of offices.
41. Section 9.2.4 (Evaluations at National Centers): Changed from “National Center for Environmental Prediction/NCEP” throughout this section to “National Center(s)”.
42. Section 9.2.5 (Managing Service Evaluation Results): Changed from “NCEP” throughout this section to “National Center(s)”.
43. Appendix B (Performance Area and Performance Factors): Updated the Communication and Briefings Section to add use of appropriate Social Media communications channels.

- 44. Appendix B (Performance Area and Performance Factors): Updated the Situational Awareness and Mission Cognizance Section to list appropriate communications channels.
- 45. Appendix C (AAR Summary Format Template): Added National Center(s) to the template.
- 46. Appendix D (Scope of Authority/Limitations, First Paragraph): Spelled out Weather Prediction Center (WPC) in bullet “b”.
- 47. Appendix D (Scope of Authority/Limitations, Second Paragraph): Updated to add “National Centers, and Regional Headquarters” to list of operational entities in bullet “a”.
- 48. Appendix F (Service Assessment Report Format): Clarified that draft materials will be marked “**DRAFT – Pre-Decisional – Not for Public Release.**”
- 49. Appendix G (Recommended and Preferred Practices for Evaluations at WFOs and RFCs)): Corrected spacing of bullets.

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Service Assessment

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1 Introduction

The National Weather Service (NWS) uses a variety of methods to assess its service and performance both routinely and after hazardous weather events. These methods include: After-Action Reviews (AARs), Regional Service Assessments (RSAs), National Service Assessments (NSAs), and Service Evaluations (SEs).

AARs are internal reviews performed after events, exercises, and drills for which a rapid and informal review of operations would be valuable to determine what happened, what went well, and what can be improved. Information collected during AARs may be used by decision makers to determine whether a regional or NSA is needed.

A NSA is an evaluation of NWS performance, in the form of a report, following a significant hydrometeorological, oceanographic, space weather, or geological event that results in warning(s) and/or other operational activities by the NWS, as defined in Section 5.1. The team will be chartered with a goal to provide a briefing on the report to senior agency leadership within 135 days of being deployed.

The evaluative report will:

- a. identify and share best practices in operations and procedures;
- b. identify and recommend service enhancements; and
- c. identify and, when possible, address service deficiencies.

RSAs are similar to NSAs in a shorter period of time. The affected region will determine the time frame for completion of the RSA. The RSA should result in best practices and lessons learned.

Service evaluations involve NWS offices routinely meeting both internally and with external partners to assess the offices’ performance and service delivery. This may include outreach

activities, periodic workshops with NWS partners, national partners meetings, and Customer Satisfaction Survey (CSS) questions. This type of evaluation could, and should, occur on a frequent basis. Service evaluations are not tied to a specific hazardous weather event, although such events would be a logical impetus for such an activity.

2 Mission Connection

Service assessments and reviews, along with verification and evaluation, help determine the effectiveness of NWS information and services, including Impact-based Decision Support Services (IDSS), to partners and the public. Using input from behavioral scientists, service assessments also evaluate the public's response to hazards and risk communication and communication processes. Verification and evaluation are ongoing activities that lead to continuous improvement. The end goal is to provide better information to facilitate development of better decisions.

3 Post-Storm Data Acquisition Quick Response Team

When extreme events occur (e.g., a tornado rated 3 or greater on the Enhanced Fujita [EF] scale), a Post-Storm Data Acquisition (PSDA) report should be compiled. Though the term used to refer to this type of evaluation is Post Storm Data Acquisition, the process may be applied to non-weather and/or man-made events that require IDSS or rapid deployment.

To gather the data necessary for accurate post-event analysis, the rapid deployment of a PSDA Quick Response Team (QRT) may be required. Guidelines and requirements for initiating PSDA QRT action are detailed in [NWS Instruction 10-1604, *Post-Storm Data Acquisition*](#).

If a NWS NSA team, RSA team, or an AAR is convened, the PSDA QRT will collect and provide any applicable ground and aerial survey information to the assessment team. The PSDA report may be attached to, or integrated with, the NSA report, RSA report, or AAR report at the discretion of the team leader. It may be advantageous to include a PSDA QRT member on the NSA team, RSA team, or the AAR to provide continuity and expertise.

4 After-Action Review

4.1 Introduction

An AAR is a professional discussion regarding an event, focused on performance standards, that enables NWS staff to discover for themselves what happened, why it happened, and how to sustain strengths and improve on weaknesses. The AAR provides offices and the Regional Headquarters (RH) a means to debrief events and quickly share that information with other field/regional offices. Early information collected during the AAR process may be used by NWS senior leadership in determining whether or not to initiate a regional or national service assessment.

4.2 Mission Connection

The AAR supplements the service assessment process, as well as the verification and evaluation programs. As a supplement to the service assessment process, the AAR provides a structured method for an office to assess their products and services provided during an event, to coordinate and share situational awareness, and to identify potential issues with systems, communications, or infrastructure. The AAR also provides a mechanism to conduct a rapid evaluation of an exercise or simulation.

External partners should be consulted during the AAR to provide feedback on the event. Many members of the Emergency Management community are familiar with this type of debriefing process through their participation in exercises and training in the manner that the Federal Emergency Management Agency (FEMA) has trained us to do, using the Homeland Security Exercise & Evaluation Program (HSEEP) methodology. More information can be found at this website: <https://www.fema.gov/emergency-managers/national-preparedness/exercises/hseep>.

4.3 Initiating an AAR

An AAR may be conducted at the local office, regional, or National Center level for any event, including under the following situations:

- a. when determined useful by an individual office's management;
- b. at the request of regional senior leadership; and/or
- c. at the request of the Chief Operating Officer (COO) in place of, or to support, a RSA and a NSA.

The determination to conduct an AAR for real events is largely subjective. However, consideration should be given to conducting an AAR for scenarios that meet one or more of the following criteria:

- a. after exercises, simulations, or drills (usually limited to internal NWS participants only to rapidly assess agency participation);
- b. when an event/incident goes well in order to capture best practices to share with other offices;
- c. when internal concerns are expressed regarding products, services, or the coordination of services among field offices;
- d. when internal concerns are expressed over issues with systems, communications, or infrastructure that made it difficult to carry out the mission;
- e. when external concerns are expressed (e.g., from Weather, Water, Climate Enterprise partners) regarding actions of field offices, especially IDSS and support of common users;
- f. following instances of multiple fatalities when no national or regional service assessment is planned; and/or
- g. in situations where significant impacts occur to a local community.

When a regional AAR involving multiple offices is conducted, it may be beneficial for the offices to conduct an individual debriefing prior to the regional debriefing. The local debriefing(s) will allow for the coordination and prioritization of discussion topics in the regional debriefing.

4.4 Membership

The core members of the AAR are the individuals or offices that participated in the event. The hallmark of the debriefing process is the participants' self-assessment and reflection aimed at service improvement. In contrast, the independent review by outside experts is the hallmark of a service assessment. The service assessment is purposefully a detached, non-biased assessment that is also intended to promote service improvement. Both AARs and service assessments should answer four important questions: where; what; when; who.

A facilitator should be appointed to organize and orchestrate the AAR. Ideally, this person should not have been involved in the event or fall within the same reporting structure as the impacted office(s). Personnel from NWS Operations Center (NWSOC) and Regional Operating Centers (ROCs) may be considered as possible facilitators assuming availability and their non-involvement in the event. Critical skills for the facilitator are the ability to manage time, bring all participants into the discussion, seek clarity on facts and statements, and manage emotions.

4.5 AAR Process

4.5.1 Debriefing Preparation

The facilitator should establish the date and time for the debriefing with Leadership. The facilitator will reserve a conference call number and web conferencing capability (if needed), invite the participants, and share any background information including debriefing ground rules and agenda.

4.5.2 Ground Rules for the AAR Debriefing

The facilitator should maintain the following ground rules:

- a. Keep the debriefing to two hours or less.
- b. Encourage participants to be respectful and provide criticisms in a positive, constructive manner.
- c. Get participants to provide specifics (e.g., who, what, when, where).
- d. Serve as a moderator and let all participants know they should be willing to give and to receive constructive criticism in a non-confrontational manner.
- e. Assist the participants in identifying *possible* causes for a situation (e.g., plans, policy, procedures, staffing, training, equipment).
- f. Endeavor to keep side discussions to a minimum. This applies even when participants are on mute.
- g. Encourage every participant to speak up about their concerns.

- h. Strive to run the AAR efficiently and to move on to new topics after a particular point has been made.

4.5.3 Conducting the Debriefing

The facilitator will orchestrate the debriefing discussion with the premise that individuals learn and remember more when they participate actively and make their own analyses rather than when they listen passively to someone else. While the facilitator is critical to the process, it is important that the facilitator guide, rather than lead the discussion.

The debriefing goal is to identify best practices, lessons learned, and other specific suggestions to better prepare for future events. The debriefing should examine the decision-making processes and the rationale behind the actions taken in addition to the results. Detailed meteorological or hydrologic case studies should be conducted independently of the AAR.

A suggested template for the AAR agenda is provided in Appendix A.

The facilitator should guide the discussion through three basic questions:

- a. What happened? (Briefly, as the participants are expected to largely know the event.).
- b. What went well?
- c. What can be improved?

Participants should review and consider the performance areas identified in Appendix B when providing their assessment of the performance during the event. These performance areas can apply to both individuals and teams. Offices may wish to tailor the recommended expectations for a particular AAR to their local needs.

The facilitator should consider requesting the assistance of a scribe and/or recording the debriefing. It is difficult to facilitate the debriefing and capture the entire discussion at the same time. All participants in a debriefing must be informed and consent to a recording.

4.5.4 AAR Documentation and Follow-through

The most critical aspect for a successful debriefing is completion of the summary that can be used to inform NWS local, regional, and/or national leadership regarding their decisions for appropriate follow-through action. It may be beneficial to capture a summary of the debriefing discussion to provide the context and rationale for the assessment. A template for the AAR summary format is provided in Appendix C.

The preliminary assessment should be discussed with appropriate program leaders in the office or RHs and refined, as necessary. Once agreed upon, the AAR summary should be forwarded to appropriate senior leaders for consideration and follow-through. Documentation resulting from the AAR are not for public release.

4.5.5 Sharing AAR

A completed AAR summary will likely contain best practices and lessons learned. One way to share this information is to distribute the completed AAR summary to the COO. In some cases, the COO may share the AAR with the Mission Delivery Council (MDC) to validate findings. The AAR may also be shared with the appropriate National Service Program leads to promote service improvement. The Office of the Chief Operating Officer (OCOO) Performance and Evaluation Branch (PEB) at NWS Headquarters (NWSHQ) may wish to place the summary on the NWS Performance Management website or distribute the report via email to appropriate programs. Documentation resulting from an AAR and any discussions or information should be labeled **“DRAFT – Not for Public Release”**.

Other options for sharing the best practices are through webinars, training, etc., where individual items can be covered in greater depth.

5 National Service Assessment

5.1 Factors to Consider for Initiating a National Service Assessment

A National Service Assessment (NSA) **may** be conducted if an event results in any of the following conditions:

- a. Unusual level of attention to NWS operations (performance of systems or adequacy of warnings, watches, and forecasts) by the media, emergency management community, or elected officials.
- b. At the request of senior leadership.
- c. Multiple fatalities.
- d. Numerous injuries.
- e. Significant impact on the economy of a large area or population.
- f. Extensive national public interest or media coverage.

5.2 Authority for Initiating a National Service Assessment

The executive sponsor, normally the COO, approves the initiation of NSAs. Input is provided by OCOO PEB (COO11) in collaboration with the Regional Director(s) of the affected region(s) and the National Centers leadership¹.

Service assessments may have an Executive Sponsor at the National Oceanic and Atmospheric Administration (NOAA) level (e.g., for hazardous events with wide-ranging impacts affecting

¹ The term “National Centers” includes the National Centers for Environmental Prediction (NCEP), the National Water Center (NWC), and the Tsunami Warning Centers (TWC). The term “National Centers leadership” includes the NCEP Director, the NWC Director, and the TWC Directors.

several NOAA line office functions). In these instances, NOAA senior leadership may request that the Assistant Administrator for Weather Services (AA) initiate a NSA.

5.3 Approval Memorandum for Initiating a National Service Assessment and Team Charter

Once the NSA team is formed, the OCOO PEB will prepare an Approval Memorandum for the Executive Sponsor (e.g., COO, NWS AA/Deputy Assistant Administrator for Weather Services [DAA], NOAA senior leadership) of the service assessment. It will include a brief outline of the event, impact(s), justification for conducting the assessment, and the team charter. A sample Approval Memorandum and team charter are provided in Appendix D.

The NSA team charter includes:

- a. **Purpose** – provides the generic mission of services assessment teams.
- b. **Event Summary** – provides a description of the hazard.
- c. **Expected Outcomes/Activities** – defines the recommended areas of investigation for the team.
- d. **Scope of Authority/Limitations** – defines the team’s decision-making capacity including length of data-gathering period, fiscal parameters, resource allocation, and any limitations. NSA teams operate as independent entities within the NWS and are normally given broad authority to accomplish the mission. This authority includes allowing teams to determine where to go and what to investigate and report. However, teams are bound by specific requirements as detailed in the charter.
- e. **Time Frame** – provides a schedule for milestones during the assignment (e.g., beginning and ending dates of the team’s assessment activities, date of the team leader briefing to NWS senior leadership).
- f. **Communication Requirements** – defines the team’s responsibilities to report progress, to account for expenses, and to brief NWS senior leadership.
- g. **Team Membership** – provides the names, positions, and work locations of the team members.
- h. **Team Confidentiality and Pre-Release of Information** – defines the guidelines for early release of information collected by the service assessment team.

The charter will be drafted by OCOO PEB in collaboration with the team leader, affected region(s), National Center(s), service program areas, and as appropriate, NOAA senior leadership. The Executive Sponsor’s signature on the Approval Memorandum begins the assessment process.

5.4 National Service Assessment Team Composition

OCOO PEB will facilitate and provide input to the selection of team members. A consensus of the COO, the Regional Director(s) or their designees of the affected region(s), National Centers leadership or their designees, Regional Service Division Chiefs, NWSHQ Services Branch Chiefs, and, if applicable, NOAA senior leadership will select the team members. Team member selection should be a highly collaborative process to ensure subject-matter experts with strong

team building and leadership skills are identified. Once the team leader is selected, he/she also will provide input on team membership.

NSA teams may be made up of the following people:

- a. Federal officials/employees, including through a detail agreement with other Federal agencies, are the primary candidates of team membership.
- b. Elected officials of state, local (including territorial), tribal governments, or their designees. Such team members must be acting in official capacities and meet to discuss management or implementation of Federal programs that share intergovernmental responsibilities or administration. Consultation with the NOAA Office of General Counsel is recommended prior to inclusion of members in this category.

Individuals that do not belong to either category *a* or *b* above may participate as consultants. They may not be members of service assessment teams. These individuals cannot participate in service assessment team deliberations and do not have a vote or a veto in team conclusions. The service assessment consultants should sign the Waiver of Compensation form to state that they are willing to work without receiving compensation, as described in Exhibit 2 of Department of Commerce Administrative Order (DAO) 202-311. It is recommended that the NOAA Office of General Counsel be consulted prior to using consultants. However, if questions arise regarding team membership at any time, clarification should be sought from the NOAA Office of General Counsel.

5.5 National Service Assessment Team Leader

The team leader is responsible for providing overall team guidance to include setting the schedule for team activities, establishing the timetable to complete tasks, providing the report outline, keeping the team focused, adhering to the charter, briefing NWS senior leadership and the Executive Sponsor, and coordinating activities with the OCOO PEB.

The team leader is to remain objective and maintain the independence of the team. The team leader is responsible for the final production of the report, including the assignment of writing tasks to team members. The team leader is encouraged to seek advice on team activities, his/her responsibilities, and the writing and content of the report (particularly from previous team leaders). The team leader should ensure report recommendations are coordinated by referring to [NWS Instruction \(NWSI\) 10-102, *New or Enhanced Products and Services*](#); other project management programs; and existing action items, on which work is currently being performed from previous NSAs, to avoid duplication of efforts.

5.5.1 National Service Assessment Team Leadership Selection Criteria

The NWS NSA team may be led by a single team leader or co-team leaders. Team leaders may be selected from within NWS, NOAA, or from another government agency. However, both the leader and co-team leader cannot be from within the NWS. A team leader selected from NWS will be from outside the affected region(s). Team leaders are selected based on their demonstrated project management and leadership skills, and their impartiality with respect to the

assessment's objectives. It is preferable that either the leader or co-team leader has served on a previous assessment team.

5.5.2 National Service Assessment Team Leadership Authority

The NSA team leader has the final authority on the content of the report and the release of information. Preliminary release of information outside the team will be limited to special situations. The decision regarding preliminary release should be made in consultation with the team members and coordinated with OCOO PEB, NOAA Office of Public and Constituent Affairs (PCA) (NWS), and appropriate Regional Directors. The team leader must consent to the preliminary release of any information.

Distribution of the draft report within NWS is limited and must be carried out in accordance with the procedures detailed in this Instruction. While on assignment, and until the report is published, the grade and/or position of the team leader within the Government is not relevant to the chain of command because the team leader is invested with full authority to conduct the assessment by the Executive Sponsor.

5.6 Other National Service Assessment Team Members and Consultants

The NSA team will be objective and include the following members:

- a. At least one subject-matter expert from within the NWS for the type of event in question, which may include an expert from a National Center when possible.
- b. At least one person from within the NWS who has experience in field operations.
- c. At least one person from outside the NWS, in accordance with Section 5.4a or 5.4b, who has expertise related to the event (when possible).
- d. A social science/behavioral science expert in accordance with Section 5.4a or 5.4b.

It is preferable to include one or more of the following members:

- a. A PSDA QRT member (if applicable).
- b. An AAR regional facilitator (if applicable).

OCOO PEB will provide support to the Service Assessment team, as needed.

5.6.1 National Service Assessment Team Representation

The maximum number of NWS team members from affected regions should be:

- a. Two people for one-region events.
- b. Three people for two-region events (two from one region and one from the other region).
- c. Three people for three-region events (one from each region).

For unusual situations where a large team is needed, this requirement may be relaxed.

NSA team members work under the authority of the team leader. They devote full time to the team while on site and then as needed until released by the team leader. Team members are expected to employ good team skills (including respect for differing opinions), to ensure all members have an opportunity to be heard, to adhere to mission requirements and the team charter, and to maintain confidentiality.

Team members are expected to treat all information collected by the service assessment team, report drafts, briefings, and discussions, as internal and confidential until the final report is released publicly. Following the release of the final report, the release of any related supporting documents will continue to be subject to applicable Federal information law, including the Freedom of Information Act (FOIA).

6 National Service Assessment Process

The NSA process begins once the Approval Memorandum has been signed or verbal approval granted by the Executive Sponsor. The actual start usually occurs when recovery efforts are sufficiently advanced to allow team members safe access to the affected area and when emergency management, local officials, NWS personnel, and the media have time to interact with team members. Final determination on when to begin the assessment process is made by the team leader with advice from the NWS region(s) and OCOO PEB.

The NSA team should complete the team leader's slides to be used for briefing the MDC within 135 days from the team's deployment. See Appendix E for a flow chart of the timeline and process.

6.1 Pre-Service Assessment Activities

All NSA team members should read the AAR for the event (if one was prepared), appropriate directives, instructions, service assessment team training slides, action items from previous service assessments, and other applicable documents and take the National Service Assessment Team Training module no later than the start of field work. The OCOO PEB will either provide these materials or the locations where the team members can access them.

Root Cause Analysis (RCA) training is highly recommended and available to all service assessment team members prior to on-site service assessment activities to ensure appropriate critical thinking techniques are integrated into the service assessment process. This training is short, focused, and led by the Office of the Chief Learning Officer in multiple sessions, as necessary to accommodate team member schedules. The goal of this RCA training is to enhance team members' skills to link service assessment findings with appropriate and actionable service assessment recommendations and thus improve the effectiveness of the resulting service assessment.

As needed, the OCOO PEB should provide a facilitator to assist in training and briefing the team on the assessment process. Facilitator assistance should be accomplished through teleconference or other remote methods.

6.1.1 Itinerary

The NSA team leader will work with affected region(s) and local NWS offices to develop the travel itinerary and then coordinate with the OCOO PEB. On occasion, the team leader may need to coordinate with the appropriate National Center(s), service delivery offices (i.e., Offices of Dissemination, Central Processing, Facilities, etc.), and/or National Service Programs at this stage. Travel arrangements should be made by each NOAA team member using the appropriate travel software and travel code. The travel code will be supplied for the service assessment by the OCOO PEB.

If service assessment team members who are elected officials of state, local (including territorial), tribal governments, or their designees have never done so, they should complete the SF-3881 form <http://www.corporateservices.noaa.gov/finance/forms/SF-3881.pdf>. NWS team members will follow normal travel protocol, rules, and regulations. The form should not be emailed because it contains Personally Identifiable Information (PII). The COO Executive Assistant will make the travel arrangements using the appropriate travel software with the travel codes supplied for the service assessment by the OCOO PEB. The team members should save all receipts obtained during travel and provide to the COO Executive Assistant when travel is complete. The COO Executive Assistant will prepare the travel voucher using the appropriate travel software.

NWS contractors may provide advice to the team, but such advice is individual advice to be used by the team, not part of the team's group recommendation. Contractors may travel with service assessment teams if their contracting company program manager identifies a need for the contractor to travel within the scope of their contract. The contracting company program manager should submit a cost estimate/travel approval request for the individual that will be traveling, to the Contracting Officer Representative (COR). The COR reviews and approves said request, ensuring travel is within scope and coordinates with the Contracting Officer (CO). The COR informs the contracting company program manager of the approval. The contracting company program manager and the service assessment team member will work out travel arrangements using an approved corporate travel agent and adhering to the Federal Travel Regulations. Once the travel is complete, the contracting company program manager submits the travel cost with their monthly invoice. The contracting company reimburses the contractor who accompanied the service assessment team.

6.1.2 Logistics

NWSHQ or RH administrative assistant support and, when appropriate and available, NOAA PCA (NWS) can assist the affected local offices in making service assessment team interview appointments with members of the media. The OCOO PEB (with assistance from affected regions) will ensure team members have the necessary equipment to accomplish the mission (e.g., vests identifying team members as NWS employees, laptops). The OCOO PEB will also provide additional supplies as needed (e.g., copies of previous service assessments reports, pertinent documents).

6.1.3 Initial Meeting

The team leader should convene a meeting for the following purposes:

- a. To outline objectives and work procedures.
- b. To assign tasks.
- c. To create sub-teams, as necessary.
- d. To establish a work schedule.

6.1.4 Affected NWS Offices or Centers

NWS Offices or Centers should coordinate with the team leader or the team leaders' designee:

- a. To make copies (electronic versions whenever possible) of all relevant public products, forecast discussions, social media posts, emergency management briefings, and operations logs.
- b. To arrange interviews with Federal, state, and local emergency management officials, national and local media, elected officials, cooperating Federal, state, and local defense agencies, and humanitarian organizations.
- c. To recommend suitable hotel accommodations.
- d. To arrange for meeting space.

Except for data normally disseminated to the public, NWS Offices or Centers will not publicly release information collected as part of the service assessment (e.g., probable maximum wind speeds, rainfall analysis, or quality/accuracy of NWS services) without the express consent of the team leader. In the case of tornadoes, see [NWSI 10-1604, *Post-Storm Data Acquisition*](#), for instructions on the release of preliminary information.

6.2 On-site Assessment Activities

Team members will gather information as assigned and report daily findings to the team leader. The team leader will conduct meetings as necessary:

- a. To assess progress
- b. To modify or develop new assignments for the next day
- c. To coordinate with the OCOO PEB
- d. To identify information, if any, to be released

It is preferable to begin writing the assessment report, or at least create an outline, before the team is released. However, the final decision rests with the team leader.

6.3 Post-Assessment Activities

Team members may have responsibilities until the public release of the final report. Team members may be asked to write, rewrite, and/or review various sections of the report; assist in developing appropriate briefing materials; and/or conduct briefings. It may be advantageous to

form a sub-team to write a first draft. It might also be prudent to arrange for multi-day meetings in a designated location to facilitate collaboration during the writing process.

6.4 Draft Report

The team leader should provide a draft report to the OCOO PEB within 60 days of team activation. The draft report should adhere as closely as possible to the format and content described in Appendix F. However, the team leader has the authority to depart from these requirements after consultation with the OCOO PEB.

Statements made in the following sections notwithstanding, no changes will be made to the report without the express consent of the team leader. The team is encouraged to refer any finding or recommendation back to similar findings or recommendations from previous Service Assessments to illustrate any unresolved or ongoing issues that might require attention.

The Service Assessment Timeline can be found in Appendix E. The review process should proceed as follows:

Step 1. The draft report is reviewed and edited by the OCOO PEB and its designees for content, technical accuracy, format and grammar, and other issues as needed. This is an iterative process that will be accomplished in close collaboration with the team leader.

Step 2. The draft report is sent for review to the affected Regions, National Center(s), Weather Forecast Offices (WFOs), River Forecast Centers (RFCs), Center Weather Service Units (CWSUs), the Forecast Services Division Chief, affected service delivery offices (i.e., Office of Dissemination, etc.), and other subject-matter experts, as appropriate. The comments resulting from these reviews will be provided to the team leader for adjudication. A second draft with the adjudicated concerns will be returned to the affected Regions to ensure accuracy.

Step 3. Draft findings and recommendations are sent to the MDC, Chief Learning Officer, other NWS Leadership, and the National Weather Service Employees Organization (NWSEO) for early identification of potential operational impacts, system impacts, or training issues. Anyone receiving the draft report for review should keep these draft findings and recommendations confidential. Comments will be forwarded to the team leader and the OCOO PEB for consideration. All changes to the report will be under the sole authority of the team leader. The team leader and/or the OCOO PEB will make a good faith attempt to inform those submitting comments as to their disposition.

Step 4. The OCOO PEB will forward the draft report to the COO for review, editing, policy compliance, and awareness (continuity of operations). The draft report will be returned to the team leader for adjudication of the review comments. The team leader will invoke the team members to aid in the adjudication process.

Step 5. The team leader(s) and other team representatives with specialized expertise will brief the NWS Senior Leadership in person at NWSHQ or via a video teleconference. Prior to the briefing, the draft report and briefing slides will be provided to the attendees. Normally, the

briefing should present an overview of the event and its impact, provide highlights of best practices, and identify areas of needed service or program improvement (i.e., findings and recommendations). The findings and recommendations will be presented with a justification for inclusion in the report.

Attendance may be in person at the briefing or remote and should include the following representatives:

- a. NWS Senior leadership team
- b. NOAA Senior leadership or their designee(s)
- c. NOAA Office of Legislative Affairs (OLA) (NWS)
- d. NOAA General Counsel (GC) Weather, Satellites, and Research Section
- e. NOAA PCA (NWS)
- f. Affected MIC(s)/HIC(s) at the discretion of the Regional Director(s)
- g. representative
- h. Others as determined by the AA or the COO, which may include affected National Center(s) Directors, the Forecast Services Division Chief, and/or service delivery offices.

Step 6. After the briefing, attendees will have one week (five [5] business days) to submit final comments to the OCOO PEB. The team leader, with support from the OCOO PEB, will determine if any report changes are needed.

Step 7. The Executive Sponsor will sign the report signifying the end of the report review period and readiness of the report for public release. Signature also indicates that NWS will thoroughly consider implementing the team's recommendations as they are written; however, it is recognized that the agency may implement alternative solutions to resolve the findings and to meet the intent of the service assessment team's recommendations. Alternative solutions may be implemented because they are, for example, deemed more effective, more rapidly deployed, and/or less costly.

Step 8. After final signatures of the executive sponsor and the public release of the regional and/or national assessment, the PEB team and executive secretariat for the MDC will have fifteen (15) days to provide findings and recommendations to the MDC for validation. The MDC will then have up to thirty (30) days to respond.

6.4.1 Prerelease of Report Information

The prerelease of report information to NWS and NOAA senior leadership and the prompt implementation of recommendations are considered to be in the public's best interest.

- a. Team leaders, in consultation with the OCOO PEB, are strongly encouraged to initiate contact with the appropriate office(s) before the report is officially released. This includes both operational (e.g., WFOs, RFCs, RHs, National Centers) and programmatic (e.g., training, awareness) entities. Contact can occur at any time during the service assessment process. In no instance, will there be prerelease of information without the express consent of the team leader(s). Confidentiality requirements will be followed.

- b. Team leaders will share critical report information with the Executive Sponsor as soon as practical after drafted by the team to inform leadership about any time-sensitive issues—especially those that could be quickly addressed.

6.4.2 Dissemination of the National Service Assessment Final Report

Prior to, or in conjunction with, the release of the final report, NOAA PCA (NWS), NOAA OLA (NWS), OCOO PEB, and the team leader(s), will collaborate on what, if any, briefings are required. Briefings normally will be held when findings reveal significant issues or problems.

- a. NOAA OLA (NWS) will work with the team leader, the OCOO PEB, and the appropriate region(s) to arrange briefings for appropriate members of Congress. If a briefing is not necessary, an announcement of the report release and a contact for additional information will be sent to appropriate members of Congress. Normally, the briefing will be held, or the announcement sent, the day before release of the report to the public. Activities involved with briefings and/or announcements are the responsibility of the NOAA OLA (NWS).
- b. NOAA PCA (NWS) will work with the team leader(s), the OCOO PEB, and appropriate NWS region(s) to determine the public interest (newsworthiness) and subsequently develop a rollout strategy. If a media briefing is not necessary, appropriate press release(s) or simply an announcement message may be issued. It is recommended that Meteorologists in Charge (MICs) and Warning Coordination Meteorologists (WCMS) from the affected offices share the announcement message with their local media.
- c. The OCOO PEB and/or the NOAA PCA (NWS) will compose the announcement message to indicate the public release and posting location of the service assessment.
- d. At least five (5) days before publicly releasing the service assessment report, the final draft document will be widely distributed internally to all NWS regions, NWSHQ offices, National Centers, and affected NOAA offices. This is the responsibility of OCOO PEB. The report will be labeled on each page with the title “**DRAFT – Pre-Decisional – Not for Public Release.**” The document will become final at the time of its release. There will be no external distribution before the release date and time.
- e. NWS Communications Division should post either information from the PCA Press Release or the announcement message on *NWS Insider* and social media sites, as appropriate.

On the official release date, the report will be made available on the NWS, OCOO PEB, regional office(s), and local office(s) Internet home pages, as appropriate. Printed reports will be distributed internally to: AA, DAA, and COO. Upon request, a reasonable number of additional hard copies may be created and made available by OCOO PEB. The OCOO PEB will be responsible for funding and printing of service assessment reports and costs connected with

media, Congressional, and/or NOAA briefings. Official reports are public information, which can be widely shared and disseminated.

7 Regional Assessments

Events for which a NSA is not undertaken may result in an internal regional assessment, which may be conducted at lower impact thresholds (see Section 5.1). Such an assessment may be prompted by the results of an AAR. The decision to conduct a RSA is under the authority of the affected region(s). Team membership is determined regionally. As appropriate, RSA teams are encouraged to follow the process outlined for national assessments, produce reports in the same format as a national assessment, and brief the results to regional senior leadership.

RSA specifics, including team membership and timelines, should be tailored to the scope of the assessment as determined by Regional leadership. The affected region will determine the scope of the evaluation and method of delivery of the results of the regional assessment. The most critical aspect of the Regional assessment is to inform Regional senior leadership of the best practices and lessons learned. If the assessment produces findings or best practices that have broad applicability beyond the region, these findings or best practices may be forwarded to the MDC for their consideration and determination as to whether these findings or best practices may be developed into new Agency-wide requirements. Documentation resulting from the RSA and any discussions or information leading up to the determination and publication of a RSA should be labeled **“DRAFT – Pre-Decisional – Not for Public Release.”**

The determination to brief the MDC on the findings of a RSA can be made for significant cases that involve extensive damages or loss of life. Likewise, the determination to release a RSA can be made by the MDC or OCOO PEB for such significant cases.

8 Assignment and Implementation of National Service Assessment Recommendations

8.1 Formulation of Action Items from Recommendations

All NSA recommendations will be vetted through the MDC. The MDC will help identify which of these NSA recommendations will become new requirements. The MDC may decide not to convert some of the recommendations to new requirements for well-defined, valid reasons (e.g., lack of resources, overcome by events). In addition, the MDC will help determine the responsible point of contact in each office and the expected closeout date for each action item created. As directed by the MDC, the service areas and/or the OCOO PEB will submit new requirements, as appropriate, into the Capabilities and Requirements Decision Support (CaRDS) process for vetting and validation.

The MDC’s adjudication of the recommendations should be made available to the NWS workforce and the public when completed.

8.2 Action Item Tracking

Once action items are assigned, their status will be tracked using the OCOO PEB Service Assessment Tracking System (SATS) program. SATS will provide the OCOO PEB and NWS leadership with both detailed and high-level reports on all action items, as required. Action items are considered open and remain in SATS until implemented, or otherwise closed for valid reasons (e.g., lack of resources, overcome by events, or senior leadership decision).

National Centers, National Service Programs, RHs, WFOs, RFCs, and CWSUs are encouraged to maintain data from all service assessment report recommendations and best practices that apply to their respective areas of responsibility. These should be used for service improvement, training, etc.

The OCOO PEB should maintain similar data that include all recommendations and best practices. These data should be used to determine if recommendations are repeated, why they are repeated, and to avoid repetition in future service assessment reports. These data should be used for service improvement and evaluation.

9 Service Evaluation

9.1 Introduction

The objective of the service evaluation program is for local offices to routinely gather internally to assess its performance and service delivery. These are recurring internal evaluations of the office's products and services. Such assessment may, on occasion, include external partners in order to evaluate NWS services/products to respond to changing needs of partners and other users and to design and create new products and services. An excellent time to gather information on services is following significant events. Any meetings with emergency managers, local officials, the media, and other partners should be scheduled at the convenience of the partners. Federal government limitations on how feedback can be obtained is specified at this Paperwork Reduction Act web link: http://www.cio.noaa.gov/services_programs/pr.html.

There are a few ways to gather information without needing Paperwork Reduction Act clearance, such as public meetings and solicitations for general comments. No clearance is needed if attendees at a public meeting are just asked to comment or give suggestions on the program or subject in question. General discussions and listening sessions about programs and goals present no problem. If, however, the group is gathered for the purpose of having attendees respond to a specific set of formatted questions, then the Paperwork Reduction Act does apply. Also, no clearance is needed if the public is offered the opportunity to make comments or suggestions, so long as the public is not asked specific questions other than self-identification (name, address, e-mail). Many websites, for instance, offer a box or e-mail address for people to give comments, and that does not create a clearance requirement.

Information gathered during Service Evaluations should be shared through the process of Office Evaluation as outlined in [NWSI 10-1607](#) and related supplements.

9.2 Evaluations

9.2.1 Evaluation at National Headquarters and Regional Headquarters (RH)

National Weather Service Headquarters (NWSHQ) offices and Regional Headquarters (RH) will hold periodic workshops and/or use other methods (e.g., national partners meetings, Integrated Warning Teams, etc.) to interact and cooperate with NWS partners. Feedback from partners will contribute to policy changes and service improvements. Where applicable, NWSHQ offices and RHs should hold joint activities when working with partners and users. In addition, NWSHQ regularly conducts customer satisfaction surveys to obtain feedback on the quality of its products and services from its users and the general public.

9.2.2 Evaluation at Forecasts Offices (WFO) and River Forecast Centers (RFC)

Internal evaluation of WFO and RFC operations includes all activities that support or lead to provision of the office's products and services. Normally internal activities and processes chosen for evaluation should be those most likely to result in tangible improvements readily apparent to NWS partners and users.

As part of the evaluation, WFOs and RFCs will document evaluation and outreach activities (e.g., open houses, partners meetings to kick off a hazardous weather season, joint training exercises with partners, integrated warning team workshops, etc.) and summarize changes made to services resulting from partner/user feedback. Based on outreach activities, interaction with users, and respondent comments from customer satisfaction surveys, each office will be able to summarize the overall level of user satisfaction in the program areas including: (1) major areas of user concerns, and (2) programs/efforts that have been well received. Recommended and preferred practices are included in Appendix G.

9.2.3 Evaluation at Center Weather Service Units (CWSU)

Evaluations at CWSUs will focus on its internal activities and service to the Federal Aviation Administration (FAA) and aviation community. The MIC of the CWSU, local Air Route Traffic Control Center (ARTCC) personnel and the associated WFO will collaborate on CWSU evaluation and determine the:

- a. frequency and scope of evaluation activities;
- b. reporting requirements; and
- c. level of support (e.g., staff, training, coordination) provided by the WFO and RHs.

9.2.4 Evaluation at National Centers

The leadership of the National Centers will determine the scope of the National Centers' evaluation programs, as well as the schedule of evaluation activities. Evaluation activities should be ongoing so partner/user feedback is continuous and there is prompt identification and resolution of problems. Where applicable, the National Centers should hold joint evaluation activities with NWSHQ offices, RHs, and WFOs with national center-type responsibilities.

9.2.4.1 National Centers Evaluation by NWS Internal Partners

National Centers gather feedback from the various constituencies in the NWS. National Centers will also determine the methods whereby evaluations will be accomplished (e.g., workshops, visiting scientist programs). Evaluation activities will include but not be limited to:

- a. quality and usability of National Centers services, including models, model data, and other products;
- b. ease of access to NWS employees;
- c. collaborative research efforts;
- d. internal processes leading to more efficient operations; and
- e. collaborative activities among Centers and WFOs with center-type responsibilities issuing similar products.

9.2.4.2 National Centers Evaluation by NWS External Partners and Users

Gathering feedback via workshops or other methods should be employed on a periodic basis to determine:

- a. the satisfaction level of external partners and users with National Centers’ products and services;
- b. effectiveness of National Centers/partner relationship in serving users;
- c. ease of access to external users and partners;
- d. quality and utility of National Centers’ services, including models, model data, and other products;
- e. needed improvements in products and services;
- f. partner/user impact on future models, products and services; and
- g. other information as determined by National Centers and/or its partners and users.

National Centers will also determine the methods whereby evaluations will be accomplished.

9.2.5 Managing Service Evaluation Results

Offices are encouraged to share evaluation successes and failures with other offices. Regional and National service program managers should monitor this information exchange/flow and publicize the most effective strategies for running a successful program. WFOs with national center-type responsibilities in aviation, marine, hurricane, and other programs should encourage the exchange of information with the National Centers. Problems uncovered by the evaluation process at individual offices or Centers will be addressed by the respective region or National Center, unless the problem is national in scope or otherwise requires NWSHQ collaboration.

Appendix A — AAR Interview Agenda Template

AGENDA

What happened?

- *Let's consider the entire event from the initial outlook through support to recovery efforts (state, local).*
- *Significant events and decision points in timeline.*

What went well?

- *Start with the positives!*
- *Pick several things that you thought went well.*

What can we improve?

- *Identify those things that you thought could be improved.*
- *Address key areas such as communications, resource management, equipment, meteorological knowledge, and “knobology”.*

Appendix B — Performance Area and Factors

<u>Performance Area</u>	<u>Performance Factors</u>
<i>INFORMATION</i>	<ul style="list-style-type: none"> • Clear expectations of the job, standards, procedures, output, and feedback
<i>RESOURCES</i>	<ul style="list-style-type: none"> • Tools, operating systems, procedures, manuals, safety, facilities
<i>PRODUCT DELIVERY</i>	<ul style="list-style-type: none"> • Coordinates development of products with appropriate team members and external organizations to ensure timely completion and consistency • Delivers quality products on time with few errors
<i>COMMUNICATIONS AND BRIEFINGS</i>	<ul style="list-style-type: none"> • Uses proper displays and visual aids to communicate effectively • Focuses on Decision Support Services and Customer Impacts • Uses appropriate Social Media platforms to share information and receive information • Tailors information to needs of different customers • Clearly and succinctly explains forecast rationale
<i>METEOROLOGICAL REASONING AND JUDGMENT</i>	<ul style="list-style-type: none"> • Uses appropriate data and conceptual models to analyze existing conditions (diagnosis) • Uses appropriate data and conceptual models to make predictions (prognosis) • Implements steps or strategies to mitigate data limitations affecting forecast
<i>SITUATIONAL AWARENESS AND MISSION COGNIZANCE</i>	<ul style="list-style-type: none"> • Maintains individual and team situational awareness • Monitors appropriate communications channels (Social Media platforms, Google communications platforms, AWIPS-2 Collaboration Tool, NWSChat) and Situational Awareness Displays • Responds effectively to unexpected events
<i>SYSTEMS KNOWLEDGE AND SKILL</i>	<ul style="list-style-type: none"> • Demonstrates a good working knowledge of directives, procedures, tools, and systems • Applies meteorological/hydrological systems knowledge to support operations • Effective training exists and has been accomplished
<i>PROBLEM RECOGNITION AND RESOLUTION</i>	<ul style="list-style-type: none"> • Identifies the existence of a problem • Executes timely responses to problems • Assesses impacts of problems to operations • Notifies team and appropriate headquarters or customers of problems
<i>TEAMWORK</i>	<ul style="list-style-type: none"> • Plans, prioritizes and coordinates among: team, and other outside organizations • Ensures roles and responsibilities are defined and understood • Performs effectively as a team member • Utilizes team expertise effectively
<i>MOTIVATION</i>	<ul style="list-style-type: none"> • Accepts responsibility for personal decisions and actions

	<ul style="list-style-type: none"> • Provides inputs in a positive, calm, and constructive manner • Encourages maximum effort from others through personal words and actions • Amount of value attributed to the job or task • Receives sufficient motivation to complete tasks
<i>SYSTEMS AND INFRASTRUCTURE</i>	<ul style="list-style-type: none"> • Documented issues with systems, communication networks, and other infrastructure that impaired or inhibited service delivery and mission execution
<i>WELLNESS / CAPACITY</i>	<ul style="list-style-type: none"> • Physical, mental, and emotional capacity • Experience and expertise

Appendix C — AAR Summary Format Template

After-Action Review (AAR)

Event Name:

Event Date(s):

***LIMIT TO NWS ONLY: DO NOT REDISTRIBUTE
FOIA EXEMPT - NOT FOR PUBLIC RELEASE***

This is a rapid evaluation of service activities and performance

Debriefing
(Conducted <date>)

INTERVIEW AGENDA

What happened?

- *Let's consider the entire event from the initial outlook through support to recovery efforts (state, local).*
- *Significant events and decision points in timeline.*

What went well?

- *Start with the positives!*
- *Pick several things that you thought went well.*

What can we improve?

- *Identify those things that you thought could be improved.*
- *Address key areas such as communications, resource management, equipment, meteorological knowledge, and "knobology".*

Some potential discussion points for this particular event

- *Were there concerns identified by partners or NWS personnel that helped to trigger the AAR?*

SUMMARY REPORT

- **Brief Event Summary**
- **Best Practices/Things That Went Well**
- **Things That Can Be Improved**
- **Things of Note**
- **Debriefing Facilitator - Name, Affiliation**
- **Debriefing Participants**
 - WFO XYZ - Name
 - WFO XYZ - Name
 - XYRFC - Name
 - RH(s) - Name
 - National Center(s) - Name

Appendix D — Sample Approval Memo and Team Charter

UNITED STATES DEPARTMENT OF COMMERCE
National Oceanic and Atmospheric Administration
National Weather Service
1325 East-West Highway
Silver Spring, Maryland 20910



July 2, 2020

MEMORANDUM FOR: John D. Murphy
Chief Operating Officer (COO), NWS

FROM: Cindy P. Woods
Chief, NWS Operations Division

SUBJECT: Service Assessment Team for the January 2016 Blizzard

A Service Assessment (SA) team has been formed to assess the National Oceanic and Atmospheric Administration (NOAA) National Weather Service (NWS) performance before and during the blizzard event that occurred across the Middle Atlantic and Northeast United States, from January 22–24, 2016.

The Eastern Region (ER) Director and National Centers for Environmental Prediction (NCEP) Director concur on the attached charter. The charter focuses the SA Team’s work and establishes the resources, scope, and timeline for the service assessment. If you agree with the contents of the charter, the team will be activated.

Attachment

Approve:

John D. Murphy, COO

Date

NOAA-NWS Operations and Services Team Charter for the Historic Blizzard of January 22–24, 2016

Purpose: NOAA’s NWS mission is focused on providing weather, hydrologic, and climate forecasts and warnings for the United States, its territories, adjacent waters and ocean areas, for the protection of life and property and the enhancement of the national economy. This SA team is charged with documenting and evaluating the effectiveness of NWS service delivery (services and operational procedures) as related to the blizzard and significant coastal flooding that occurred across portions of the Middle Atlantic and Northeast from January 22–24, 2016. In addition, even though it was a separate event, the SA team is also charged with documenting and evaluating the decision support and messaging for the Wednesday, January 20, 2016 event. This event of approximately 1" of snow was well forecast but paralyzed the Washington D.C. region.

Event Summary: A major winter storm produced 18–36 inches of snow over a wide area of the eastern United States, from West Virginia to southeastern New York. Baltimore-Washington International Thurgood Marshall Airport set a new record storm total snowfall of 29.2 inches. Washington-Dulles International Airport (28.3 inches) and New York Central Park (26.8 inches) recorded their second highest storm total snowfall in recorded history. The storm produced wind gusts exceeding 60 mph at numerous locations along the Atlantic Coast in Massachusetts, New Jersey, Delaware, and Virginia. The peak gust reported was 85 mph in Assateague, Virginia. Major coastal flooding occurred in southern New Jersey and Delaware. Preliminary data show that Cape May, New Jersey, experienced a storm surge of 3.9 feet and a total water level of 9.0 feet. This exceeded the record of 8.9 feet during Hurricane Sandy in October 2012.

Expected Outcomes/Activities: After Action Reviews (AAR) have been conducted by Easter Region Headquarters (ERH), Southern Region Headquarters (SRH), and Central Region Headquarters (CRH) between their affected field offices and the Weather Prediction Center (WPC). NCEP also conducted a holistic AAR that included eight of its nine National Centers. These AARs can be used as a base of information for the SA team to focus their investigative work. The SA team will be responsible for examining and incorporating applicable information from one or more of the AARs into the service assessment report.

In particular, this SA team should evaluate the generation and provision of operational products and services, identify best-case operations, procedures, and practices, and make recommendations to address any service deficiencies. The recommended areas of investigation are as follows:

1. **Decision Support Services and Messaging.** Examine the provision of decision support services to local, state, and regional partners before, during, and after the hydrometeorological event.

- a. How effective was the NWS in communicating uncertainty of the forecast track for the northern fringes of the blizzard to the public, local officials, and the media?
 - b. Investigate the Wednesday, January 20, 2016 event of approximately 1 inch of snow that was well forecast but paralyzed the Washington D.C. region. Did messaging of the impending blizzard overshadow what should have been a nuisance snow?
2. **NWS Forecasts, Products, Warnings, and Services.** Assess the effectiveness of the internal NWS communication/coordination among the affected Weather Forecast Offices (WFOs) and WPC. What best practices and lessons were learned? Assess the quality and effectiveness (i.e., timeliness, accuracy, specificity, message clarity, consistency) of NWS forecasts, warnings, and decision support services concerning the blizzard, including coastal flooding. Was the ER Regional Operations Center used effectively?
 3. **Snowfall Observations.** Investigate the controversy surrounding the snowfall measurements at Reagan National Airport. Were snowfall measurements properly taken according to the established NWS guidelines? Are existing guidelines for measuring snow adequate? Are sightings at the Local Climatological Data locations from Washington, D.C. to New York City, NY representative of their surrounding areas? This team is responsible for collaborating with the short-duration Integrated Work Team investigating this issue and incorporating into this assessment a section on this topic including any findings and recommendations.
 4. **Computer Models.** How did models/ensembles perform, especially for the northern fringes of the forecasted heavy snow? Investigate potential causes for model performance deficiencies or predictability challenges particularly concerning the forecast gradient for snow in the New York and southern New England areas. This team is responsible for collaborating with NCEP and incorporating into the assessment a computer model performance section created by an NCEP subject-matter expert.

Scope of Authority/Limitations: The SA team should interact with impacted stakeholders, including, but not limited to, emergency managers and those directly involved in heavy snowfall and coastal flood operations along with civil authorities, transportation officials, and the media. Additional information can be obtained from others as deemed necessary by the team. The following NWS entities are to be evaluated by the team either through face-to-face visits or teleconferences as deemed appropriate by the SA team:

- a. WFO Mount Holly, PA, WFO Upton, NY, and WFO Sterling, VA (and any neighboring WFOs as necessary)

- b. NCEP's Environmental Modeling Center (EMC) and Weather Prediction Center (WPC)
- c. ERH and ER Regional Operations Center (ROC)
- d. NWS Operations Center

The team has the authority to:

- Obtain and use all products, logs, etc., produced by offices significantly impacted (e.g., WFOs, National Center(s), and RHs).
- Interview any employees in the NWS it deems necessary to accomplish its mission.
- Make recommendations for improving NWS services and operations.
- The SA team should employ the most efficient method for gathering information (e.g., telephone interviews, video teleconferencing, travel, etc.). NWS employee travel authorizations should be generated by the local office supporting the traveler. The front page should be emailed to the Performance and Evaluation Branch Chief (mike.sowko@noaa.gov) as soon as practical for coordination and approval in the Office of the Chief Operating Officer (OCOO) and AFSO. All other travel authorizations will be prepared by the OCOO.
- Total expenses are **not to exceed \$20,000**.

Time Frame: SA Team activities should be prioritized based upon the issues that most significantly and directly influenced NWS performance during the event. The preliminary schedule is as follows:

- Conduct initial team meeting: ***Sunday evening, February 28, 2016.***
- Begin first week of on-site assessment activities (e.g., office visits): ***Monday, February 29, 2016.***
- End on-site, assessment activities: Team debrief, writing assignments, etc., and travel home: ***On or about Friday, March 4, 2016.***
- Send draft report to OCOO Performance and Evaluation Branch: ***No later than Monday, May 2, 2016.***
- Team leader briefs NWS leadership: ***On or about Tuesday, June 28, 2016.***

Communication Requirements:

- SA Team leader should report progress of the team in weekly messages to the COO, ER Director, Operations Division Chief, and the Performance and Evaluation Branch Chief. This report should contain travel itineraries, a list of people interviewed, and a brief description of important findings, recommendations, and/or issues.
- SA Team leader should keep the Performance and Evaluation Branch Chief informed of money spent, as well as spending plans.
- SA Team leader should conduct meetings to assess the team's progress, modify or develop new assignments for the day, and address issues regarding the release of information.

- SA Team leader will provide a briefing on the team's findings and recommendations to NWS leadership.

Members: SA Team members have been selected based upon their expertise, experience, and potential for contributing significantly to a constructive and thorough service assessment. The SA Team will include the following members:

1. **Thomas Johnstone**, Team Lead, MIC, WFO Corpus Christi, TX
2. **Kevin Barjenbruch**, WCM, WFO Salt Lake City, UT
3. **Alan Gerard**, WRDD Deputy Division Chief, NSSL, Norman, OK
4. **Bruce Smith**, MIC, WFO North Central Lower Michigan, MI
5. **Andy Nash**, MIC, WFO Burlington, VT
6. **Cedar League**, Social Scientist, Helena, MT [**Caveat – The SA Team should not have included a non-federal employee unless that person is in a group exempted by law from the requirements of the Federal Advisory Committee Act. A limited exemption exists for elected officers of state, local, and tribal governments (or their properly designated employees) acting in their official capacity.**]

SA Team Consultants: The SA Team should make use of consultants to answer questions regarding policy, technological capabilities (e.g., software, communications, and dissemination), societal response, training options, or other issues as they arise. Although named in this charter, consultants are not members of the SA Team. The following may be consulted at any point while the service assessment team is active:

1. **Paul Pisano**, Federal Highway Administration, US DOT, Washington, DC
2. **Susan Buchanan**, Public Affairs Specialist, USEC/PCA, Silver Spring, MD
3. **Rebecca Noe**, Epidemiologist, CDC, Atlanta, GA
4. **Geoff Manikin**, Meteorologist, Mesoscale Modeling Branch, EMC, College Park, MD
5. **Vankita Brown**, Social Scientist, NWS Headquarters, Silver Spring, MD

The SA Team leader is responsible for engaging the collaboration that will lead to the success of the team. The role of the team leader includes the following:

- Keeping the purpose, goals, and approach of the team relevant and meaningful
Building commitment and confidence in team members.
- Utilizing the skills and expertise within the team through task delegation and cooperation.
- Managing feedback from outside of the team and soliciting advice/input from outside of the team when needed.
- Working closely with the SA Program Leader to ensure that the process is efficient and the results are timely and effective.

All members of the SA Team can provide leadership in the achievement of the SA team objectives and should assume personal accountability for the success of the team. The role of each team member includes the following:

- Communicating with the team in an open and courteous manner.
- Providing support, suggestion, opinion, and information toward the objectives of the team.
- Encouraging creativity and innovation among fellow team members.

Team Confidentiality and Pre-Release of Information:

- Information collected by the SA Team, report drafts, briefings and discussions, are internal and confidential until the final report is publicly released. In no instance will there be prerelease of information without the express consent of the team leader(s). All employees with access to these data are also expected to maintain confidentiality.
- The SA Team Leader(s), in consultation with the Performance and Evaluation Branch, is strongly encouraged to initiate contact with appropriate office(s) before the report is officially released. This includes both operational (e.g., WFOs, regional offices) and programmatic (e.g., training, awareness) entities. Contact can occur at any time during the SA process.

Appendix E — Service Assessment Timeline

The timeline is guidance and may vary based on individual assessment characteristics. The 135-calendar day deadline for the completion of the briefing slides is fixed.

Assessment Timeline (calendar days)	Activity
Day 1 – 10 (10 days)	<ul style="list-style-type: none"> • Team deployment • On-site assessment and data gathering
Day 11 – 60 (50 days)	<ul style="list-style-type: none"> • Team delivers draft report to PEB
Day 61 – 75 (14 days)	<ul style="list-style-type: none"> • PEB and Team edit and format report
Day 76 – 90 (14 days)	<ul style="list-style-type: none"> • Affected entities and subject matter experts review and comment on report
Day 91 – 103 (12 days)	<ul style="list-style-type: none"> • Team responds to comments • PEB and Team incorporate changes
Day 104 – 112 (8 days)	<ul style="list-style-type: none"> • OCOO review and clearance to make MDC briefing
Day 113 – 135 (22 days)	<ul style="list-style-type: none"> • Concurrently, Team responds to OCOO review comments, prepares briefing for MDC, and sends draft briefing slides to PEB. • PEB reviews briefing slides • PEB sends briefing slides and draft report to MDC and other attendees
Day (Depends on MDC calendar availability)	<ul style="list-style-type: none"> • Team leader(s) briefing to MDC, or senior leadership for high visibility service assessments, and other attendees
Day (7 days)	<ul style="list-style-type: none"> • MDC briefing invitees provide follow-up comments on report (5 business days) • PEB and Team incorporate changes
Day (6 days)	<ul style="list-style-type: none"> • Executive Sponsor approves via signature
Day (6 days)	<ul style="list-style-type: none"> • PEB makes report available for internal distribution
Day (2 days)	<ul style="list-style-type: none"> • Posting, briefing, and press release
Day (15 days)	<ul style="list-style-type: none"> • PEB and executive MDC’s secretariat will provide findings and recommendations to the MDC for validation.
Day (30 days)	<ul style="list-style-type: none"> • MDC will then have up to thirty (30) days to respond.

Appendix F — Service Assessment Report Format

The report will be written by the assessment team leader who will determine the amount of written input to be received from team members. The team leader may request help from the OCOO PEB, if needed. Below are guidelines for report format; however, each assessment is unique, and the format may be adapted, if necessary.

The service assessment report is a comprehensive, formal document that contains information concerning:

- a. The nature, intensity, and impact of the event.
- b. The performance of affected NWS offices prior to and during the event, focusing on the full range of products and services. Post event activities, if pertinent, may be included. The OCOO PEB will provide guidance as to which offices are to be included in the report but final determination is made by the team leader, unless specified in the Charter. Performance includes accuracy and quality of warnings, forecasts, and other products and such things as responsiveness to requests for data and briefings.
- c. The response to NWS warnings and forecasts by those affected by the event. Examples are partner/user groups including Federal, state, and local emergency managers; Federal and state military organizations; local and national media; Federal, state and local officials; and the general public.

The report will contain information with supporting evidence. It should be written in a readable style. While technical language is sometimes needed, explanations should be provided. Excessive detail is discouraged, and the report should be as short as possible, while still telling the whole story.

Graphs, tables, and photographs should be included, but limited to those that complement the story and/or demonstrate the event's impact. Editorial comments (opinions) should be avoided. Be wary of making subjective blanket statements of high performance/success, especially when there are more than a few recommendations for improvement. When recognition is appropriate, the report should include praise for specific people, offices, or actions. However, identification of performance deficiencies should remain at or above the office level and not be attributed to specific individuals or position titles.

Draft materials for service assessments are pre-decisional documents. All draft materials will be marked "**DRAFT – Pre-Decisional – Not for Public Release.**" In addition, every page of all draft documents will include a prominently visible label of "Draft."

Final NSA Reports can be viewed at:

<http://www.nws.noaa.gov/om/assessments/index.shtml>

Service assessment reports will contain (at a minimum) the following sections:

- a. **Cover** - contains a picture associated with the event.
- b. **Title Page.**
- c. **Preface.** The Preface should include any pertinent background scope and intent. It should be brief, well under a page and give the reader a sense of why the report should be read. The Preface is signed by the AA.
- d. **Table of Contents.**
- e. **List of Team Members.** Members of the assessment team, their titles, and home office or company. Other valuable contributors to the document.
- f. **Acknowledgements.** If necessary, this section should list acknowledgements that are not included in the “Other valuable contributors” section above.
- g. **Executive Summary.** The Executive Summary is a brief, non-technical version of the report primarily for those who do not intend to read the entire document. Only the most important details of the event and its impacts, NWS activities, and user responses should be included. This section should contain a summary of the recommendations and/or one or two of the most pertinent recommendations.
- h. **Report Body.** An introduction should be the first section of the report body and include the NWS mission, purpose of the report, and methodology. The team has leeway to arrange the remaining sections of the report to best tell the story. The report body may include an event overview, hydrometeorological summary, office and center activities, observations, systems, communications, coordination, continuity of operations, outreach and preparedness, statistical and model guidance, training, management, or any other applicable sections. A status should be included of fully operational, partly operational, or non- operational at each event bulletin update for all Mission Critical systems.
- i. **Facts, Findings, and Recommendations.** Facts, findings, and recommendations should be spread throughout the body of the report.

A **fact** is a discussion which flows from earlier narrative, usually immediately before the fact, that highlights and complements something important learned from the assessment and does not necessarily lead to a finding. A fact often reiterates significant ideas/points of previous narrative. Facts are not numbered and do not necessarily lead to findings. An example of a fact is: “**Fact:** During the event, WFO Cleveland issued 24 severe thunderstorm warnings, with an average lead time of 22 minutes.”

A **finding** is a statement that describes something important learned from the assessment. Findings are numbered in ascending order. They should be clear and concise with no opinions, repetition, or unrelated information and lead directly to a recommendation. Findings preferably should only lead to one associated recommendation.

An example of a finding is: “**Finding 1:** The team discovered many users of WFO XYZ and WFO ABC Internet sites had trouble locating specific information because the sites were arranged in different formats.”

A **recommendation** is a specific course of action, directly related to a finding that will lead to improved NWS operations and/or services. Each recommendation is numbered with the same number as the associated finding. Recommendations should be written in terse, declarative sentences and be practical, specific, achievable, trackable, and closeable within a reasonable amount of time. Avoid statements such as “WFO River City should continue to work with emergency managers to publicize the value of NWSChat” since the time frame (i.e., should continue) is too vague. Limit the use of adjectives (most, few) and adverbs (generally, usually). **Recommendations should address problems beyond the scope of any individual office.** Problems affecting one or two offices can usually be addressed at the regional level. Also, if the problem has already been included in a previous service assessment as a recommendation, it should not be a recommendation again; instead, it could just be mentioned in the document text.

An example of a recommendation is “**Recommendation 1:** WFOs should arrange to provide storm spotter training classes for each of their counties at least every other year.”

Team opinions should be identified as such and may be included in the report’s body. Opinions should not be included in best practices, facts, findings, and recommendations. Additionally, reports should include an appendix listing all findings, recommendations, and best practices. A separate listing of facts (other than in the body of the report) is not required.

- j. **Best Practice.** Innovative, successful actions taken to accomplish the NWS mission that are worthy of use and can be used in other parts of the agency. At the discretion of the team leader, these may be grouped or spread through the body of the report, whichever enhances reader comprehension. Best practices should contain factual information and not opinions. They are not numbered.
- k. **Appendices.** Included here should be information of interest, but not essential to the report storyline. Examples include a list of acronyms and what they represent; definitions; findings, recommendations, and best practices; event statistics including the number of direct fatalities, injuries, and damages obtained from Storm Data or Storm Data Program Manager; records; references; and event timelines.

Appendix G — Recommended and Preferred Practices for Evaluations at WFOs and RFCs

1 WFO and RFC Evaluation Methods

- a. The Warning Coordination Meteorologist (WCM) will manage the evaluation activities and recommendations to the Meteorologist in Charge (MIC). The WCM will also summarize recommended changes to products and services for the office management team. The Service Coordination Hydrologist (SCH) will manage the evaluation activities and recommendations to the Hydrologist in Charge (HIC). The SCH will also summarize recommended changes to products and services for the office management team.
- b. Obtain evaluation data through feedback from partners and users to determine the level of satisfaction with the office's products and services.
- c. WFOs and RFCs will seek the help of their respective RHs when weighing adherence to NWS policy against modifying products and services. Examples of possible changes to products and services include the use of characteristic terminology or wording common in the local area and the provision of additional avenues of personal contact. Any changes are coordinated with the RHs.
- d. Examples of feedback methods are face-to-face meetings, workshops, seminars, customer satisfaction surveys, telephone/conference calls, service outage emails and call-in reports (<mailto:nwroutage@noaa.gov>) announcements over the National Oceanic and Atmospheric Administration (NOAA) Weather Radio All Hazards, the Internet, NWSChat, as well as the utilization of government-approved Social Networking/Social Media accounts (e.g., NWS Facebook page).

2 WFO and RFC Internal Evaluations

Internal evaluation of WFO and RFC operations includes all activities that support or lead to provision of the office's products and services. Normally, internal changes should be driven by partner/user requests or requirements based on evaluation of external products and services. Changes to operations that are the result of management directive, union negotiation, or employee/team suggestions and are determined to have a major impact on products or services should be discussed with partners and users to ensure there is no decrease in satisfaction. Internal activities and processes chosen for evaluation should be those most likely to result in tangible improvements readily apparent to NWS partners and users.

3 WFO and RFC External Evaluations

External evaluation covers those areas of WFO and RFC operations that are “visible” to partners and users. In most cases, this consists of the products and services the office provides to partners and users. The routine and/or hazardous weather information in the

following service areas, if applicable, will be evaluated: fire and public weather, climate, aviation, marine and coastal, and hydrology.

Other activities for evaluation include but are not limited to:

- a. Office interaction and partnership with the media, emergency managers, and other government agencies;
- b. Outreach activities (e.g., school visits, spotter and other weather-related talks, participation at boat shows, weather training for HAM radio operator networks, interviews);
- c. NOAA Weather Radio All Hazards, NOAA Weather Wire Service, Emergency Managers Weather Information Network, Interactive NWS (iNWS), NWChat, NWS Outreach and Education Event System (NOEES), and other NWS dissemination systems; and
- d. Public and partner access (e.g., ease of use in accessing NWS Internet sites, iNWS, NOEES, digital telephone answering systems, office visits).

4 Documentation

4.1 Outreach Activities

NOEES and the Integrated Database for Education and Awareness are available computer software applications to assist in the documentation of outreach activities. This software provides the forecaster with the capability to enter data such as the following: event type, date, audience, topics discussed, number of attendees, location, and feedback.

4.2 Program Evaluations

Offices may find it useful to document program evaluations annually by including the following information:

- a. An innovative evaluation process/feedback method used other than the normal interaction with users including the benefits derived from such activities;
- b. A summary of the products, services, programs and initiatives with supporting commentary on effectiveness including a description of any product and/or service that was particularly well received by partner/users;
- c. Major concerns, issues, or problem areas associated with products and services;
- d. Trends, as appropriate; and
- e. Success stories.